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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/072,339	02/07/2002	Bing-Chang Wu	TKHR5060-D	4153

7590 09/29/2003

J.C. Patents  
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EXAMINER
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HA, NGUYEN T

ART UNIT	PAPER NUMBER
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2831

DATE MAILED: 09/29/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Any

**Office Action Summary**

Application No.

10/072,339

Applicant(s)

WU, BING-CHANG

Examiner

Nguyen T Ha

Art Unit

2831

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 12 August 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 8-14 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 8-14 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☒ Certified copies of the priority documents have been received in Application No. 09/653,108.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## **DETAILED ACTION**

### **Request Continuation Examination**

1. The request filed on 8/12/2003 for a Request Continuation Examination (RCE) under 37 CFR 1.53(d) based on parent Application No. 10/072,339 is acceptable and a RCE has been established. An action on the RCE follows.

### ***Drawings***

2. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the feature an active region recited in claim 8 that must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

### ***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 8-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chang et al. (US 6,069,063) in view of Chao (US 6,177,327).

Regarding claims 8&14, Chang et al. disclose a high resistive thin film resistor structure (figure 3) comprising:

- a substrate (21, figure 3) having an isolation region (22, figure 3) and an active region (column 3, lines 7-8);
- a patterned, lightly doped polysilicon layer (23) located on and in contact with the isolation region (figure 3);
- a diffusion barrier layer (24) covering all the upper surface of the lightly doped polysilicon layer (figure 3).

**Chang et al. lack:**

- a spacer located on and in contact with the sidewalls of the lightly doped polysilicon layer and a barrier diffusion layer.

**Chao teaches** the use of a spacer (206) located on and in contact with sidewalls of a lightly doped polysilicon layer (204), and a barrier diffusion layer (208, figure 1).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to use the spacer design at Chao in Chang for the purpose at reducing the bridging effects caused by conductive residuals and reducing the size of the device.

Regarding claim 9, **Chang et al. show** the isolation region including a shallow trench isolation structure (figure 3).

Regarding claim 10, **Chang et al. disclose** the thickness of the polysilicon layer is about 2000 Angstrom (column 6, lines 7-9).

Regarding claim 11, **Chang et al. disclose** the diffusion barrier layer includes a silicon dioxide layer (column 3, lines 22-23).

Regarding claim 12, **Chang et al. disclose** the silicon dioxide has a thickness of 200 Angstrom (column 3, lines 33-34, which is within the claimed range of 100 and 500 Angstrom).

Regarding claim 13, **Chang et al. disclose** the diffusion barrier layer covers an entire upper surface of the lightly doped polysilicon layer (figure 3).

***Conclusion***

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nguyen T Ha whose telephone number is 703-308-6023. The examiner can normally be reached on Monday-Friday from 8:30AM to 6:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dean Reichard can be reached on 703-308-3682. The fax phone numbers for the organization where this application or proceeding is assigned are 703-305-3432 for regular communications and 703-305-3431 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0956.

**NH**  
**September 7, 2003**



9/10/03